

## Appendix II: Case Study Template

### 1. CASE OVERVIEW

Describe the case in 1-2 sentences.

### 2. POLICY AND PROCESS

Identify the company policies and processes for implementing the GNI Principles that are relevant to the case.

### 3. COMPANY RESPONSE

Describe how the company responded to the case, including whether and how the policies and processes identified were used.

### 4. RATIONALE FOR CASE INCLUSION

Specify the type of case (see AT Section 3.2) and why it was included in the assessment, including whether it was recommended by GNI non-company participants.

### 5. ASSESSOR COMMENTS

The assessor should provide comments on the company's implementation of the GNI Principles in the case, including strengths and successes, concerns with company implementation, gaps in creating or implementing relevant policies and processes, and recommendations for the company to improve.